

SECTOR 4

Attorney Docket No. 804RP



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application Of: )  
Robert Swensen and )  
Honorio S. Luciano )  
Serial No. 09/361,849 ) Group Art Unit:  
Filed: July 27, 1999 ) Examiner:  
For: SURFACE MOUNT ELECTRICAL )  
DEVICE WITH MULTIPLE )  
PTC ELEMENTS )

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
ATTN: BOX MISSING PARTS

**COMPLETION OF FILING REQUIREMENTS**

Dear Sir:

This is in response to the Notice to File Missing Parts of Application -- Filing Date Granted mailed August 19, 1999, a copy of which is enclosed.

No Declaration or Oath was filed. Enclosed is the original Declaration and Oath for this Application.

Enclosed is a check in the amount of \$130 surcharge for late filing of the Declaration and Oath.

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)**

I HEREBY CERTIFY that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner For Patents, Washington, D.C. 20231, on August 26, 1999.

*Sonia Mendez*

Attorney Docket No. 804RP710  
Application No. 09/361,849

The Commissioner is hereby authorized to charge payment or deficiencies of the following additional fees that may be required by this paper and during the entire pendency of this Application or credit any overpayment to Deposit Account No. 23-0280:

- 1) Any additional filing fees under 37 C.F.R. 1.16(a), (f) or (g) (filing fees);
- 2) Any additional fees under 37 C.F.R. § 1.16(b), (c) or (d) (presentation of extra Claims); and.
- 3) Any patent application processing fees under 37 C.F.R. 1.17.

A duplicate copy of this sheet is attached hereto.

Respectfully submitted,

Date: 8-26-99

By: Jeffrey R. Gargano  
Jeffrey R. Gargano, Reg. No. 38,148  
WALLENSTEIN & WAGNER, LTD.  
311 S. Wacker Dr., 53rd Floor  
Chicago, Illinois 60606  
(312) 554-3300



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/361,849 07/27/99 SWENSEN R 804RP710

0242/0819

JEFFREY R GARGANO  
WALLENSTEIN AND WAGNER LTD  
53RD FLOOR  
311 SOUTH WACKER DRIVE  
CHICAGO IL 60606-6622

NOT ASSIGNED

1751

DATE MAILED:

08/19/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.174(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Edisha Evans*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202